MULTIPLIERS OF TRIGONOMETRIC SERIES AND POINTWISE CONVERGENCE(1)

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Introduction. In a recent paper M. Weiss and A. Zygmund [7] have studied the pointwise convergence of a trigonometric series $\sum a_n e^{inx}$ when the multipliers $\lambda_n = |n|^{i\gamma}$ (γ real) are applied to it. The proof of their result makes use of Peano derivatives in L^p , which bear a close connection with the t_u^p classes of A. P. Calderón and A. Zygmund [1]. In this paper we prove that conditions of Marcinkiewicz type for a multiplier are enough to preserve the t_u^p classes (Theorems 1 and 2). As a consequence we obtain results on pointwise convergence for multipliers which satisfy a variational condition of Marcinkiewicz type (Theorem 3).

I. Notation. All functions to be considered in this paper are periodic with period 2π . We define

$$||f||_p = \left(\int_{-\pi}^{\pi} |f(x)|^p dx\right)^{1/p}$$
 and $\mathscr{L}^p = \{f; ||f||_p < \infty\}.$

DEFINITION 1. Let $u \ge 0$, by $T_u^p(x_0)$, $1 \le p < \infty$; we denote the class of functions f, belonging to \mathcal{L}^p , and such that there exists a polynomial $P_m(x)$ of degree m, m < u ($P_m = 0$ if u = 0), so that

(1.1)
$$\left(\frac{1}{h}\int_{-h}^{h}|f(x-x_0)-P_m(x)|^p dx\right)^{1/p} \leq Ah^u,$$

for $0 < h \le \pi$, with A independent of h. If $P_m(x) = \sum a_n x^n$, we write $T_u^p(x_0, f) = ||f||_p + \sum |a_n| + \inf \{A\}$.

DEFINITION 2. Let $f \in T_u^p(x_0)$ we shall say that $f \in t_u^p(x_0)$ if and only if there exists a polynomial $P_m(x)$ of degree $m, m \le u$, such that

(1.2)
$$\left(\frac{1}{h}\int_{-h}^{h}|f(x-x_0)-P_m(x)|^p\ dx\right)^{1/p}=o(h^u).$$

 C^{∞} will denote the class of infinitely differentiable functions.

- II. Multipliers preserving $T_u^p(x_0)$ and $t_u^p(x_0)$. We start by stating some properties of the spaces $T_u^p(x_0)$ and $t_u^p(x_0)$ (see [1]):
 - (1) $T_u^p(x_0)$ is a Banach space with the norm $T_u^p(x_0, \cdot)$.
 - (2) $t_u^p(x_0)$ is a closed subspace of $T_u^p(x_0)$; C^{∞} is dense in $t_u^p(x_0)$.

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THEOREM 1. Let $k(x) \in \mathcal{L}^1$, such that:

- (1) $|(d/dx)^{j}k(x)| \le C/|x|^{j+1}$ for $0 \le j \le r$.
- (2) If $K(f) = (1/\pi) \int_{-\pi}^{\pi} k(x-y)f(y) dy$, then $||Kf||_p \le C ||f||_p$.

Then K is a continuous operator from $T_u^p(x_0)$ to $T_u^p(x_0)$, and from $t_u^p(x_0)$ to $t_u^p(x_0)$, for $u \le r$. Moreover $T_u^p(x_0; Kf) \le B_u C T_u^p(x_0, f)$, where B_u is a constant depending on u only.

Proof. The proof is similar to that of Lemma 5.1 in [1].

Without loss of generality we may assume that $x_0 = 0$. We will show first the preservation of $T_n^p(x_0)$.

Let $P_m(x)$ be the polynomial of (1.1) for f(x).

Take $\phi(x) \in C^{\infty}$ such that: $\phi(x) = 1$ for $|x| < \pi/4$ and $\phi(x) = 0$ for $|x| > \pi/2$. Set $f(x) = f_1(x) + f_2(x)$ where $f_2(x) = P_m(x)\phi(x)$. Since for $h \le \pi/4$,

$$\int_{-h}^{h} |f_2(x) - P_m(x)|^p dx = 0,$$

then it is clear that $T_u^p(0, f_2) \leq B_u T_u^p(0, f)$.

On the other hand, if $\psi(x) \in C^{\infty}$,

$$K(\psi)(x) = \frac{1}{\pi} \int_{-\pi}^{\pi} k(y) [\psi(x-y) - \psi(x)] dy + \frac{\psi(x)}{\pi} \int_{-\pi}^{\pi} k(y) dy.$$

Using then the fact that $|k(y)| \le C/|y|$ and that $\left| \int_{-\pi}^{\pi} k(y) \, dy \right| \le C$ (which follows from condition (2)); it follows that $|K(\psi)(x)| \le B_{\psi}C$. Similarly $|(d/dx)^{j}K(\psi)(x)| \le B_{\psi}C$. Hence $T_{\mu}^{\nu}(0, K(\psi)) \le B_{\psi}C$. Applying this observation to $x^{n}\phi(x)$:

(1.3)
$$T_u^p(0, K(f_2)) \leq \sum_{n \leq u} |a_n| T_u^p(0, K(x^n \phi)) \leq B_u C T_u^p(0, f).$$

We pass now to consider $f_1(x)$. Clearly

$$\left(\frac{1}{h}\int_{-h}^{h}|f_1(x)|^p\,dx\right)^{1/p} \le T_n^p(0,f)h^u, \text{ for } h \le \frac{\pi}{4}.$$

CLAIMS:

(1.4)
$$\int_{-h}^{h} |f_1(x)| |x|^{-j} dx \le B_u T_u^p(0, f) h^{u+1-j}, \text{ for } 1 \le j < u+1.$$

(1.5)
$$\int_{\pi \ge |x| \ge h} |f_1(x)| |x|^{-j} dx \le B_u T_u^p(0, f) h^{u+i-j}, \text{ for } u+1 \le j.$$

We postpone the proof of (1.4) and (1.5) and proceed to show that $T_u^p(0, K(f_1)) \le B_u C T_u^p(0, f)$. Expanding k(x) by Taylor's formula, we have

(1.6)
$$K(f_1) = \frac{1}{\pi} \int_{-h}^{h} k(x-y) f_1(y) \, dy + \sum_{n < u} \frac{x^n}{n!} \frac{1}{\pi} \int_{-\pi}^{\pi} \left(\frac{d}{dy}\right)^n k(-y) f_1(y) \, dy$$
$$+ \frac{x^l}{l!} \frac{1}{\pi} \int_{h \le |x| \le \pi} \left(\frac{d}{dy}\right)^l k(\theta x - y) f_1(y) \, dy$$
$$- \sum_{n < u} \frac{x^n}{n!} \frac{1}{\pi} \int_{-h}^{h} \left(\frac{d}{dy}\right)^n k(-y) f_1(y) \, dy$$

where $u \le l < u+1$. Set $b_n = (1/n!\pi) \int_{-\pi}^{\pi} (d/dy)^n k(-y) f_1(y) dy$; then using condition (1) and (1.4):

$$(1.7) |b_n| \le B_u \int_{-\pi}^{\pi} |f_1(y)| |y|^{-n-1} dy \le B_u CT_u^p(0,f) (0 \le n < u).$$

Moreover

$$(1.8) \int_{-h/2}^{h/2} \left| \int_{-h}^{h} k(x-y) f_1(y) \, dy \right|^p dx \le C^p \int_{-h}^{h} |f_1(y)|^p \, dy \le C^p T_n^p(0,f) h^{pu+1}$$

and for n < u

$$\int_{-h/2}^{h/2} \left| \frac{x^n}{n!\pi} \int_{-h}^{h} \left(\frac{d}{dy} \right)^n k(-y) f_1(y) \, dy \, \right|^p dx$$

$$\leq B_u C^p \int_{-h/2}^{h/2} |x|^{np} \left(\int_{-h}^{h} |f_1(y)| |y|^{-n-1} \, dy \right)^p dx$$

$$\leq B_u C^p T_u^p(0, f) h^{up+1}.$$

Finally using (1.5)

$$\int_{-h/2}^{h/2} \left| \frac{x^{l}}{I!} \int_{\pi \geq |x| > h} \left(\frac{d}{dy} \right)^{l} K(\theta x - y) f_{1}(y) dy \right|^{p} dx$$

$$\leq B_{u} C^{p} \int_{-h/2}^{h/2} |x|^{lp} \left(\int_{\pi \geq |x| > h} |f_{1}(y)| |y|^{-l-1} dy \right)^{p} dx$$

$$\leq B_{u} C^{p} T_{u}^{p}(0, f) h^{up+1}.$$

From (1.6), (1.7), (1.8), (1.9) and (1.10) it follows that $T_u^p(0, K(f_1)) \le B_u C T_u^p(0, f)$, this inequality together with (1.3) proves the first part of the theorem.

For the second part it is enough to observe that if $f \in C^{\infty}$, then $K(f) \in C^{\infty}$, and C^{∞} is dense in $t_u^p(x_0)$.

Proof of Claims (1.4), (1.5). Assume that $(\int_{-h}^{h} |f(x)|^{p} dx)^{1/p} \le Ah^{u+1/p}$; set $g(t) = \int_{-t}^{t} |f(x)| dx \le At^{u+1}$. Then for $0 \le j < r-1$:

$$\int_{-h}^{h} |f(x)| |x|^{-j} dx = \lim_{\varepsilon \to 0} \int_{\varepsilon}^{h} t^{-j} d(g(t)) = \lim_{\varepsilon \to 0} \left(t^{-j} g(t) \Big|_{\varepsilon}^{h} + j \int_{\varepsilon}^{h} g(t) t^{-j-1} dt \right)$$

$$\leq A h^{u+1-j} + A \int_{0}^{h} t^{u-j} dt \leq B_{u} A h^{u+1-j},$$

and (1.4) follows. (1.5) can be proved using a similar argument.

We shall discuss next what conditions on the Fourier coefficients of k(x) guarantee properties (1) and (2).

LEMMA. Let $\{\lambda_n\}_{n=-\infty}^{+\infty}$, such that

- (a) $\lambda_n = 0$ for |n| > N,
- (b) $|\lambda_n| < C$ and $\sum_{k=2^{k+1}}^{k} |n|^r |\Delta^{r+1}(\lambda_n)| \le C$, $r \ge 1$ (k=0, 1, 2, ...)

$$\Delta \lambda_n = \lambda_n - \lambda_{n+1}; \qquad \Delta^r \lambda_n = \Delta(\Delta^{r-1} \lambda_n).$$

Then $k(x) = \sum_{-\infty}^{\infty} \lambda_n e^{inx}$ satisfies property (1) for $j \le r - 1$, and property (2).

Proof. Condition (b) implies

$$(1.11) |\Delta^k \lambda_n| \leq BC |n|^{-k} \text{when } k \leq r.$$

Property (2) is now a consequence of the Marcinkiewicz multiplier theorem (see [3], [9, II, p. 232]) since

$$\sum_{k=2k}^{\pm 2^{k+1}} |\Delta \lambda_n| \le BC \sum_{k=2k}^{\pm 2^{k+1}} \frac{1}{|n|} \le BC$$

and therefore $||K(f)||_p \le B_p C ||f||_p$ where B_p depends on p only. To prove property (b), set

$$Z_n^{(k)}(x) = \frac{e^{i(n+k)x}}{(e^{ix}-1)^k};$$

observe that

$$(1.12) Z_n^{(k)}(x) - Z_{n+1}^{(k)}(x) = Z_{n+1}^{(k-1)}(x),$$

and

$$|Z_n^{(k)}(x)| \le 1/|x|^k.$$

Set, for $x \neq 0$, m = [1/|x|] the integer part of 1/|x|, then, using (1.12) and summation by parts,

$$\left(\frac{d}{dx}\right)^{j} k(x) = \sum_{n=-N}^{\infty} (in)^{j} \lambda_{n} e^{inx} = \sum_{n=-N}^{\infty} \Delta^{j+2} (\lambda_{n} (in)^{j}) Z_{n}^{(j+2)}(x)
= \sum_{|n| \le M} \Delta^{j+2} (\lambda_{n} (in)^{j}) Z^{(j+2)}(x) + \sum_{|n| > M} \Delta^{j+2} (\lambda_{n} (in)^{j}) Z_{n}^{(j+2)}(x)
= P + Q.$$

To estimate Q, let $2^s \le M \le 2^{s+1}$. Using the estimates (1.11), (1.13) and condition (b):

$$|Q| \leq \frac{B}{|x|^{j+2}} \sum_{k=s}^{\infty} \left\{ \sum_{n=\pm 2^k}^{\pm 2^{k+1}} \left(\sum_{l=0}^{j+2} |\Delta^{j+2-l}(\lambda_n)| (|n|^{j-l}) \right) \right\}$$

$$\leq \frac{BC}{|x|^{j+2}} \sum_{k=s}^{\infty} 2^{-k} \leq \frac{BC}{|x|^{j+1}}.$$

To estimate P, use a summation by parts argument and (1.12):

$$\begin{split} P &= \sum_{n=-M}^{M+2} \Delta^{j}(\lambda_{n}(in)^{j}) Z_{n}^{(j)}(x) - \{ [Z_{M+2}^{(j+1)}(x) - Z_{-M}^{(j+1)}(x)] \, \Delta^{j+1}(\lambda_{M+2}(i(M+2))^{j}) \\ &\quad + Z_{-M}^{(j+1)}(x) [\Delta^{j}(\lambda_{-M}(iM)^{j}) - \Delta^{j}(\lambda_{M+1}(i(M+1))^{j})] \} \\ &\quad + \{ [Z_{M+1}^{(j+2)}(x) - Z_{-M}^{(j+2)}(x)] \Delta^{j+1}(\lambda_{M+1}(i(M+1))^{j}) \\ &\quad + Z_{-M}^{(j+2)}(x) [\Delta^{j+2}(\lambda_{-M}(-M)^{j}) - \Delta^{j+2}(\lambda_{M}(iM)^{j})] \}. \end{split}$$

Hence using (1.11) and (1.13)

$$|P| \le BC \frac{M}{|x|^j} + \frac{BC}{|x|^{j+1}} + \frac{BC}{M|x|^{j+2}} \le \frac{BC}{|x|^{j+1}}.$$

The lemma follows. As a consequence of the lemma we have

THEOREM 2. Let $\{\lambda_n\}_{n=-\infty}^{\infty}$ such that $|\lambda_n| \leq C$ and

$$\sum_{k=2^{k}}^{\pm 2^{k+1}} |\Delta^{r+1}(\lambda_n)| |n|^r \leq C, \quad r \geq 1 \qquad (k=0,1,2,\ldots).$$

Define for $f \in C^{\infty}$, $f(x) = \sum a_n e^{inx}$, $\bigwedge (f) = \sum_{n=-\infty}^{\infty} \lambda_n a_n e^{inx}$. Then, for $u \le r-1$ $T_u^p(x_0, \bigwedge f) \le B_{u,p} C T_u^p(x_0, f)$, and as a consequence \bigwedge can be extended to be a continuous mapping from $t_u^p(x_0)$ into $t_u^p(x_0)$. (Since C^{∞} is dense in $t_u^p(x_0)$.)

Proof. Let $\phi(t) \in C^{\infty}(-\infty, \infty)$, such that $\phi(t) = 1$ for $|t| \le 1$ and $\phi(t) = 0$ for $|t| \ge 2$. Set $\mu_n = \lambda_n \phi(n/N)$ where N is a positive integer. Then, for $f \in C_{\infty}$ as before,

$$\bigwedge_{N} (f) = \sum \mu_{n} a_{n} e^{inx} = \frac{1}{\pi} \int_{-\pi}^{\pi} k_{N}(x-y) f(y) dy,$$

where $k_N(x) = \sum_{n=-2N}^{2N} \mu_n e^{inx}$. The theorem becomes an immediate consequence of Theorem 1 and the lemma once we observe that:

(i) Since $|(d/dx)^i\phi(x/N)| \le B/N$ for $|x| \le 2N$ and it vanishes for $|x| \ge 2N$, then

$$|\mu_n| \leq BC$$
 and
$$\sum_{k=2k}^{\pm 2k+1} |\Delta^{r+1}(\mu_n)| |n|^r \leq BC.$$

- (ii) For $f \in C^{\infty}$; $\bigwedge_N (f)$ converges uniformly to $\bigwedge(f)$ together with any finite number of derivatives; therefore $T_u^p(x_0, (\bigwedge \bigwedge_N)(f)) \to 0$ as $N \to \infty$.
- III. Applications to pointwise convergence. Before we discuss the applications we shall introduce some of the notation to be used in this section.

Given a sequence $\{s_n\}_{n=0}^{\infty}$, define

$$s_n^{(0)} = s_n, s_n^{(j+1)} = \sum_{k=0}^n s_k^{(j)}$$

and

$$A_n^{(0)} = 1, \qquad A_n^{(j+1)} = \sum_{k=0}^n A_k^{(j)}.$$

 $\sigma_n^{(k)} = s_n^{(k)}/A_n^{(k)}$ are the Cesàro means of $\{s_n\}$. If $\sigma_n^{(k)} \to s$ as $n \to \infty$ we shall say that s_n is summable (C, k). Finally we say that s_n is summable Abel if

$$\lim_{x\to 1^-}\sum_{n=0}^\infty s_n x^n$$

exists.

As an application of our results of §I we state

THEOREM 3. Let $\{\lambda_n\}_{n=-\infty}^{\infty}$ be a sequence satisfying $|\lambda_n| \leq C$;

$$\sum_{k=2^{k+1}}^{\pm 2^{k+1}} |n|^r |\Delta^{r+1}(\lambda_n)| \leq C \qquad (k=0,1,2,\ldots)$$

and let $\sum_{n=-\infty}^{\infty} a_n e^{inx}$ be a trigonometric series, summable (C, k) on a measurable set E.

Then the series $\sum_{n=-\infty}^{\infty} \lambda_n a_n e^{inx}$ is summable (C, k) a.e. in E, provided $0 \le k \le r-2$.

The proof of the theorem will be divided into three lemmas.

LEMMA 1 (M. WEISS). If $\sum_{n=0}^{\infty} a_n e^{inx}$ is summable (C, k) on a measurable set E, then the (k+1)th termwise integrated series

$$f(x) \sim \sum_{0}^{\infty} (in)^{-(k+1)} a_n e^{inx} \in t_{k+1}^p(x)$$

for almost every $x \in E$ $(1 \le p < \infty)$.

For the proof of Lemma 1 we refer the reader to [6, Theorem C'].

LEMMA 2. If $g(x) = \sum_{n=-\infty}^{\infty} b_n e^{inx} \in t_{k+1}^p(x)$ for $x \in E$, then the (k+1)th termwise derivative of the series is

- (i) summable (C, k+1) a.e. in E,
- (ii) summable (C, k+3) everywhere in E.

Proof. According to the corollary of Theorem 9 in [1], for any closed set $F \subseteq E$, we may decompose $g = g_1 + g_2$; $g_1, g_2 \in \mathcal{L}^p$; where

- (a) g_1 has a classical (k+1)th (\mathcal{L}^{∞}) Peano derivative at every point of F,
- (b) $((1/h) \int_{|x-x_0| \le h} |g_2(x)|^p dx)^{1/p} = O(h^{k+1})$ and

$$\int_{-\pi}^{\pi} \frac{|g_2(x)|}{|x - x_0|^{k+2}} \, dx < \infty$$

(see [9, Theorem 10]).

If we now write the Fourier series expansion of g_1 and g_2 from property (a) it is known that the (k+1)th termwise derivative of the Fourier series of g_1 is (k+1)th Cesàro summable almost everywhere in F. (See [9, II, Theorem (5.4), p. 81].)

From property (b) and the fact that if $K_n^r(t)$ is the *n*th Cesàro kernel of the *r* means,

$$\left| \left(\frac{d}{dt} \right)^r K_n^r(t) \right| \leq \frac{C}{|t|^{r+1}} \qquad (|t| \leq \pi)$$

(see [9, II, p. 60]). The result follows for g_2 and hence for g, proving (i). The statement (ii) is a consequence of the fact that the primitive of g(x) has a (k+2)th Peano derivative at every point of E and hence the (k+2)th termwise derivative of its Fourier series expansion is summable (C, α) for every $\alpha > k+2$. (See [9, II, Theorem (1.7), p. 60].)

The following lemma is due to A. Zygmund [8], [7].

LEMMA 3. Let $\{\lambda_n\}$ be a sequence satisfying $|\lambda_n| \le C$; $|\Delta^{k+1}(\lambda_n)| \le Cn^{-(k+1)}$. Set $s_n = \sum_{i=0}^n u_i$. If $s_n^{(k)} = o(n^k)$ then for N = [1/(1-x)],

$$\sum_{n=0}^{\infty} \lambda_n u_n x^n - \sum_{n=0}^{N} s_n^{(k)} \Delta^{(k+1)}(\lambda_n) \to 0$$

as $x \rightarrow 1^-$.

Proof. A summation by parts argument shows that

$$\begin{split} \sum_{n=0}^{\infty} \lambda_n u_n x^n &= \sum_{n=0}^{\infty} s_n^{(k)} \Delta^{(k+1)}(\lambda_n x^n) \\ &= \sum_{n=0}^{\infty} s_n^{(k)} \left\{ \sum_{j=0}^{k+1} {k+1 \choose j} \Delta^j (x^n) \Delta^{k+1-j}(\lambda_{n+j}) \right\} \\ &= \sum_{n=0}^{\infty} s_n^{(k)} \Delta^{k+1}(\lambda_n) x^n + \sum_{j=1}^{k+1} {k+1 \choose j} (1-x)^j \left\{ \sum_{n=0}^{\infty} s_n^{(k)} \Delta^{k+1-j}(\lambda_n) x^n \right\}. \end{split}$$

Set N = [1/(1-x)], then

$$\sum_{n=0}^{\infty} \lambda_n u_n x^n - \sum_{n=0}^{N} s_n^{(k)} \Delta^{k+1}(\lambda_n)$$

$$= \sum_{n=0}^{N} s_n^{(k)} [\Delta^{k+1} \lambda_n] (x^n - 1) + \sum_{n=N+1}^{\infty} s_n^{(k)} \Delta^{k+1}(\lambda_n) x^n$$

$$+ \sum_{j=1}^{k+1} {k+1 \choose j} (1-x)^j \left\{ \sum_{n=0}^{\infty} s_n^{(k)} \Delta^{k+1-j}(\lambda_n) x^n \right\}$$

$$= \sum_{n=1}^{N} o(1) (1-x) + o\left(\frac{1}{N}\right) \sum_{n=N}^{\infty} x^n + \sum_{j=1}^{k+1} (1-x)^j o((1-x)^{-j}) = o(1),$$

since $|\Delta^{j}(\lambda_{n})| \leq Cn^{-j}$ for $1 \leq j \leq k+1$.

REMARK. Since $\sum_{n=0}^{\infty} s_n^{(k)} \Delta^{k+1}(\lambda_n)$ and $\sum_{n=0}^{\infty} u_n \lambda_n$ are equisummable (C, k), under the conditions of Lemma 3, we have that if the series $\sum \lambda_n u_n$ is summable Abel then it is also summable (C, k).

Proof of Theorem 3. We observe first (see [4] and [9, II, p. 216]) that if $\sum_{n=-\infty}^{\infty} a_n e^{inx}$ is summable (C, k) in E, then the conjugate series $\sum_{n=-\infty}^{\infty} \operatorname{sgn}(n) a_n e^{inx}$ is summable (C, k) a.e. in E. Hence without loss of generality we may assume that $a_n = 0$ for n < 0.

Set $f(x) \sim \sum_{n=0}^{\infty} a_n(in)^{-(k+1)} e^{inx}$, using Lemma 1, $f(x) \in t_{k+1}^p(x)$ for almost every x in $E(1 \le p < \infty)$. Applying Theorem 2, $\bigwedge(f) \sim \sum_{n=0}^{\infty} \lambda_n(in)^{-(k+1)} a_n e^{inx}$ belongs to $t_{k+1}^p(x)$ a.e. in E.

From Lemma 2, $\sum_{n=0}^{\infty} \lambda_n a_n e^{inx}$ is summable (C, k+1) a.e. in E and therefore summable Abel a.e. in E.

The theorem follows by applying the remark to Lemma 3.

As a consequence of Theorem 3 we obtain a recent result of M. Weiss and A. Zygmund when $\lambda_n = |n|^{i\gamma}$ (γ real), see [7], also [5].

Another interesting application is

THEOREM 4. Let F(t) be a bounded infinitely differentiable function on the real line and entire (real analytic) at infinity. Then $\lambda_n = F(n)$ is a multiplier sequence that preserves (C, k) summability almost everywhere, for every $k \ge 0$. This is so because $|(d/dt)^n F(t)| \le C_n |t|^{-n}$. In particular F(t) being the bounded ratio of two polynomials will satisfy the conditions of Theorem 4.

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